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Title:

## WAVELENGTH TUNING AN EXTERNAL CAVITY LASER WITHOUT MECHANICAL MOTION

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### WAVELENGTH TUNING AN EXTERNAL CAVITY LASER WITHOUT MECHANICAL MOTION

#### CROSS-REFERENCES TO RELATED APPLICATIONS

[0001] This application is related to concurrently filed, co-pending and commonly assigned U.S. Patent Application Serial No. [Attorney Docket 10030129-1], titled "EXTERNAL CAVITY LASER IN WHICH DIFFRACTIVE FOCUSING IS CONFINED TO A PERIPHERAL PORTION OF A DIFFRACTIVE FOCUSING ELEMENT"; concurrently filed, co-pending and commonly assigned U.S. Patent Application Serial No. [Attorney Docket 10030130-1], titled "USING RELAY LENS TO ENHANCE OPTICAL PERFORMANCE OF AN EXTERNAL CAVITY LASER"; concurrently filed, co-pending and commonly assigned U.S. Patent Application Serial No. [Attorney Docket 10030131-1], titled "METHOD OF ENHANCING WAVELENGTH TUNING PERFORMANCE IN AN EXTERNAL CAVITY LASER"; and co-pending and commonly assigned European Patent Application No. 02 017 446.2, titled "WAVELENGTH TUNABLE LASER WITH DIFFRACTIVE OPTICAL ELEMENT," filed August 3, 2002, the disclosures of all of which are hereby incorporated herein by reference.

#### TECHNICAL FIELD

[0002] The invention relates to light wavelength filtering and particularly to wavelength tuning an external cavity laser without mechanical motion.

#### BACKGROUND OF THE INVENTION

[0003] An important property of external cavity lasers is wavelength tuning. To accomplish this, one or more optical components in the external cavity, such as a grating, focusing element or mirror, are typically translated or rotated. This motion causes the cavity to resonate at another wavelength. Unfortunately, this tuning mechanism suffers from the limitations inherent with motor-driven mechanical motion. Motor-generated heat can change the cavity optical path length via the thermal expansion or contraction of materials. This affects the cavity optical properties in an unpredictable manner. The resolution of mechanical wavelength tuning may also be limited by unreproducible mechanical motion and backlash always present in mechanical systems. Motors can also be bulky or, if miniaturized, expensive.

#### BRIEF SUMMARY OF THE INVENTION

[0004] In accordance with one embodiment provided herein, a method of tunable wavelength filtering without requiring mechanical motion is provided. The method comprises receiving a light beam of wavelength within a range of wavelengths, dispersing the light beam at a wavelength-dependent angle, and propagating the light beam through an electro-optic device including an electrically-variable refractive index electro-optic element. The method further comprises applying a control voltage to the electro-optic device, causing tunable wavelength filtering dependent on the control voltage.

[0005] In accordance with another embodiment, an optical system is provided, comprising a dispersing element operable to disperse a light beam at a wavelength-dependent angle, and a variable-index electro-optic device positioned in the path of the light beam. The variable-index electro-optic device includes a variable-index electro-optic element having an electrically-variable refractive index, such that the variable-index electro-optic element is operable to perform wavelength-selective filtering of the light beam, dependent on the value of an applied control voltage.

[0006] In accordance with some embodiments, a system and method are provided which use electro-optic materials, e.g., liquid crystals, to accomplish wavelength tuning of an external cavity laser. In particular, wavelength tuning is accomplished by applying a control voltage to the electro-optic material, not by mechanical motion. Hence, the drawbacks inherent with mechanical tuning are avoided.

optical gain medium, for example a light-emitting diode, emits a light beam within a range of wavelengths. The light beam is spectrally dispersed, for example, using a diffraction grating, and propagates through an electro-optic element located in the external cavity. The electro-optic element, for example, comprises a liquid crystal or other electro-optic material having an electrically variable refractive index. In some embodiments, the effective optical path length is tuned in response to an applied control voltage, such that the mode number of the cavity is electrically tuned. Additionally or alternatively to the above, an applied control voltage tunes the critical angle for total internal reflection, such that the desired oscillating wavelength is totally internally reflected and undesired wavelengths are partially segregated from the desired

wavelength. In some implementations, a pair of such variable index electro-optic elements is located in the cavity, such that the first element partially segregates longer wavelengths and the second element partially segregates shorter wavelengths relative from the desired wavelength. Control voltages can be the same or determined independently, for example in response to feedback control signals. Numerical analysis shows that sufficient wavelength discrimination is provided to confine laser oscillation to one electrically tunable resonant wavelength.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- [0008] For a more complete understanding of the present invention, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:
- [0009] FIGURE 1 depicts an external cavity laser including optical gain medium, collimating element, dispersing element, two variable index electro-optic elements, and a retroreflector;
- [0010] FIGURE 2 depicts an external cavity laser in a further embodiment of the present invention, incorporating an additional variable index electro-optic element, which is used to adjust the effective optical path length of light propagating within the external cavity laser;
- [0011] FIGURE 3 depicts wavelength selective total internal optical reflection complementary with partial refraction of a light beam at an interface between a liquid crystal layer and a lower index optical medium contained in a layered structure of a variable index electro-optic element;
- [0012] FIGURE 4A is a graph showing simulated long wavelength pass and short wavelength pass filter characteristics of a liquid crystal embodiment;
- [0013] FIGURE 4B is a graph showing the simulated behavior of a long wavelength pass and a short wavelength pass filter combined to provide a bandpass wavelength filter centered on 1.550  $\mu$ m; and
- [0014] FIGURE 5 depicts an external cavity laser, including an optical relay element between the optical gain medium and a collimating element.

#### DETAILED DESCRIPTION OF THE INVENTION

Tunable Lasers Handbook, F. J. Duarte, ed., Academic Press, 1995; Chapter 8, Tunable External-Cavity Semiconductor Lasers, pp. 349-413) includes optical gain medium 101; collimating element 102, for example a focusing lens; dispersing element 103, e.g., a diffraction grating; two variable index electro-optic elements 110, 120 comprising, e.g., liquid crystals (see for example Handbook of Optics, M. Bass, ed., McGraw-Hill, 1995; Chapter 14, Liquid Crystals, pp. 14.1-14.23); and retro-reflector 105. One purpose of an external cavity is advantageously returning to the optical gain medium light of a desired resonant wavelength  $\lambda_0$ , which after reflection by a back facet of the optical gain medium, is identical in wavelength and phase with light emitted by the optical gain medium. This property allows the light returned from the external cavity to control the wavelength and phase (mode) of laser resonance. As used herein, light is defined to be electromagnetic energy of any wavelength from 1 nanometer (nm) to 1 millimeter, particularly including wavelengths in the visible, infrared, and near ultraviolet portions of the electromagnetic spectrum.

[0016] Light emitted from optical gain medium 101 is collected and collimated by collimating element 102, which may be a reflecting paraboloid, a refractive lens, or a diffractive (e.g., Fresnel) element. The diameter of collimating element 102 should be large enough to collect over 90 percent of the optical flux (power) emitted by optical gain medium 101. The collimated light propagates along the z direction (indicated by the labeled directional arrow in FIGURE 1) and is incident on dispersing element 103, for example, a linear transmission diffraction grating. It is convenient but not necessary to orient the plane of the diffraction grating orthogonal to the z-axis propagation direction. Light transmitted through dispersing element 103 is diffracted predominantly into a single diffraction order, without loss of generality the +1 order. This is accomplished using a "blazed" grating, well known in the art. The cross-sectional profile of such a "blazed" grating is sawtooth shaped. If this shape is appropriately sized, the diffraction efficiency into the +1 order is maximized (80-100 percent). The direction of the diffracted light is given by the grating equation:

 $\sin \theta = \lambda / \Lambda$ ,

where  $\lambda$  is the wavelength of light,  $\Lambda$  is the grating pitch, and  $\theta$  is the angle between the diffracted propagation direction and the direction normal to the grating surface (z axis). In the example depicted in FIGURE 1, the grating rulings run in a direction perpendicular to the plane of the figures. In accordance with the grating equation above, diffraction angle  $\theta$  varies according to the wavelength of light diffracted. Wavelengths longer than  $\lambda_0$ , for example  $\lambda_L$ , are diffracted through larger angles than wavelengths shorter than  $\lambda_0$ , for example  $\lambda_S$ . Diffracted light of all wavelengths is incident on first optical interface 112 of first variable index electro-optic element 110 and is refracted into the interior of first variable index electro-optic element 110 so that light of desired resonant wavelength  $\lambda_0$  is normally incident onto first optical interface 112.

[0017] After traversing the interior of variable index electro-optic element 110, light of all emitted wavelengths is next incident on second optical interface 111. Importantly, variable index electro-optic element 110 is shaped and oriented such that light of desired resonant wavelength  $\lambda_0$  is incident on second optical interface 111 at an angle near the critical angle  $\theta_{1cr}$  for total internal reflection (TIR). The critical angle is defined by the relation:  $n_1(V) \sin \theta_{1cr} = 1$ , where  $n_1(V)$  is the electrically-dependent refractive index of first variable index electro-optic element 110 adjacent second optical interface 111 and  $\theta_{1cr}$  is measured relative to the normal to second optical interface 111, in accordance with convention (see, for example, E. Hecht, "Optics," Addison-Wesley, 1974, pp. 97-98).

[0018] Electro-optic materials belong to a class of optical materials whose refractive index can be varied by the application of a control voltage. Accordingly, refractive index  $n_1(V_1)$  within variable index electro-optic element 110 can be varied with the application of control voltage  $V_1$ . Changing control voltage  $V_1$  applied within variable index electro-optic element 110 likewise changes critical angle  $\theta_{1cr}$ . Thus, varying applied voltage  $V_1$  controls the boundary (in wavelength terms) between the range of wavelengths of light incident on optical interface 111 totally internally reflected into and the range of wavelengths partially refracted out of variable index electro-optic element 110, as described below in more detail.

[0019] If the desired resonant wavelength of laser 100 is equal to  $\lambda_0$ , optical gain medium 101 is capable of emitting light in a range of wavelengths including wavelengths longer

( $\lambda_L$  in FIGURE 1) and shorter ( $\lambda_S$  in FIGURE 1) than  $\lambda_0$ . Light of wavelength  $\lambda_L$  longer than  $\lambda_0$  is diffracted by dispersing element 103 through angles  $\theta$  larger than diffracted angles for light of wavelength  $\lambda_0$ . As a result, light of wavelength  $\lambda_L$  longer than  $\lambda_0$  is refracted into the interior of first variable index electro-optic element 110 at optical interface 112 and is incident on optical interface 111 at a larger angle relative to the normal to optical interface 111 than is light with wavelength equal to  $\lambda_0$ . Light of wavelength  $\lambda_S$  shorter than  $\lambda_0$  is conversely diffracted at dispersing element 103 through angles θ smaller than diffracted angles for light of wavelength equal to  $\lambda_0$ . As a result, light of wavelength  $\lambda_S$  shorter than  $\lambda_0$  is refracted into the interior of first variable index electro-optic element 110 at optical interface 112 and is accordingly incident on optical interface 111 at a smaller angle relative to the normal to optical interface 111 than is light of wavelength equal to  $\lambda_0$ . By adjusting critical angle  $\theta_{1cr}$  at optical interface 111 via the application of control voltage  $V_1$ , only light of wavelength  $\lambda_L$ , longer than or equal to  $\lambda_0$ , is totally internally reflected at optical interface 111. Light of shorter wavelength  $\lambda_S$  relative to  $\lambda_0$  refracts partially through optical interface 111 and thereby undergoes selective partial segregation from light of desired wavelength  $\lambda_0$  and of longer wavelengths in laser cavity 100. Optical interface 111 alone accordingly behaves as a long wavelength pass filter.

[0020] The light totally internally reflected from optical interface 111 with wavelength  $\lambda_L$  greater than or equal to  $\lambda_0$  propagates out through optical interface 113 of first variable index electro-optic element 110, is incident on optical interface 122 and is refracted into the interior of second variable index electro-optic element 120. Importantly, second variable index electro-optic element 120 is shaped and oriented such that critical angle  $\theta_{2cr}$  for TIR occurs near the angle of incidence for light of wavelength  $\lambda_0$  at second optical interface 121. Additionally, at optical interface 121, unlike at optical interface 111, light of wavelength  $\lambda_L$  longer than  $\lambda_0$  is incident at smaller angles relative the normal to optical interface 121 than light of wavelength  $\lambda_0$ . Critical angle  $\theta_{2cr}$  such that  $\theta_{2cr}$  such that  $\theta_{2cr}$  such that  $\theta_{2cr}$  and  $\theta_{2cr}$  is adjusted via the application of control voltage  $\theta_{2cr}$ , such that only light with wavelength  $\theta_{2cr}$  shorter or equal to  $\theta_{2cr}$  is TIR reflected at optical interface 121. All longer wavelengths  $\theta_{2cr}$  are partially refracted through optical interface 121 and thus are selectively partially segregated from desired wavelength  $\theta_{2cr}$  and from shorter wavelengths in external cavity laser 100. Optical interface 121 alone accordingly behaves as a short wavelength pass filter.

[0021] Accordingly, only light of tunable wavelength  $\lambda_0$  propagates efficiently within external cavity laser 100 relative to longer and shorter wavelengths  $\lambda_L$  and  $\lambda_S$ . Optical interfaces 111 and 121 together behave as an electrically tunable bandpass wavelength filter. Relatively efficiently propagating light of wavelength  $\lambda_0$  emerges through optical interface 123, is reflected from retro-reflector 105, and effectively retraces its path through cavity 100 back to gain medium 101. After retro-reflection, once again wavelengths  $\lambda_L$  and  $\lambda_S$  longer and shorter than  $\lambda_0$  are partially segregated from tunably selected wavelength  $\lambda_0$  at respective optical interfaces 111 and 121 because of refraction and reflection near voltage-tunable critical angles  $\theta_{LCT}$  and  $\theta_{LCT}$ . In some embodiments, retro-reflector 105 can be integrally combined with optical interface 123 of electro-optic element 120. Alternatively, any of a wide variety of optical feedback elements, for example, prisms, TIR reflectors, planar mirrors, curved mirrors, and fiber Bragg gratings, may be used in place of retro-reflector 105.

electro-optic elements 110 and 120 to be shaped and oriented such that light of desired resonant wavelength  $\lambda_0$  is normally incident on optical interfaces 113 and 122. Alternatively, first and second variable index electro-optic elements 110 and 120 can be combined into a single electro-optic element shaped and oriented such that optical interfaces 113 and 122 are eliminated and such that light of desired resonant wavelength  $\lambda_0$  is incident on each of optical interfaces 111 and 121 at angles near the critical angles for TIR. For convenience, first and second variable index electro-optic elements 110 and 120 can be prism-shaped. Alternatively, they can be configured in other two-dimensional or complex three-dimensional shapes with three-dimensional light propagation paths.

[0023] Accordingly, without loss of generality, the application of variable control voltages  $V_1$  and  $V_2$  to respective first and second variable index electro-optic elements 110 and 120 selectably tunes critical angles  $\theta_{1cr}$  and  $\theta_{2cr}$  at respective optical interfaces 111 and 121. This causes optical interfaces 111 and 121 together to behave as an electrically tunable bandpass wavelength filter, which tunably selects light at or adjacent a unique resonant wavelength  $\lambda_0$  to propagate with higher efficiency within external cavity laser 100 relative to longer and shorter wavelengths  $\lambda_L$  and  $\lambda_S$ . By varying control voltages  $V_1$  and  $V_2$ , resonant wavelength  $\lambda_0$  within external cavity laser 100 is changed or tuned.

[0024] Even though discrimination against wavelengths  $\lambda_L$  and  $\lambda_S$  longer and shorter than  $\lambda_0$  near voltage-selectable critical angles  $\theta_{1cr}$  and  $\theta_{2cr}$  is a gradual function of wavelength, it is typically sufficient to ensure single-mode oscillation in external cavity laser 100. Numerical analysis using the well-known Fresnel equations shows that, for an example of center wavelength  $\lambda_0 = 1.59$  µm, no more than 10 modes propagate in the top 10 per cent of the cavity efficiency curve. Experience has shown further that, if ten or fewer modes propagate in the top 10 per cent of the cavity efficiency curve, then nonlinear mode competition for the population inversion in optical gain medium 101 will limit actual oscillation within the cavity to a single dominant mode only. Accordingly, the method described above provides tuning of external cavity laser to desired resonant wavelength  $\lambda_0$  through application of variable control voltage to variable index electro-optic elements 110, 120, without requiring mechanical motion.

[0025] FIGURE 2 depicts external cavity laser 200, in a further embodiment of the present invention, incorporating additional variable index electro-optic element 210, which is used to adjust the effective optical path length of light propagating within the external cavity laser. Additional variable index electro-optic element 210 can be located anywhere within external cavity laser 200, provided that the propagation path of desired resonant wavelength  $\lambda_0$  passes through it. Advantageously, additional variable index electro-optic element 210 is located in the collimated beam space between collimating element 102 and dispersing element 103, where the propagation paths of emitted light of all wavelengths are parallel with one another. To maximize the range of adjustment of optical path length, additional variable index electro-optic element 210 can be configured to occupy a maximum length within the collimated beam space.

[0026] Additional variable index electro-optic element 210 enables optical path length tuning by varying refractive index  $n_3(V_3)$  of additional variable index electro-optic element 210 via application of variable control voltage  $V_3$ , and hence changing the optical path length (physical path length L210 multiplied by refractive index  $n_3(V_3)$ ) of light propagating within additional variable index electro-optic element 210. By placing additional variable index electro-optic element 210 within the cavity of external cavity laser 200, the optical path length of the cavity can be tuned for light propagating within the cavity. The mode number n(m) associated with resonant light within the cavity is directly related to resonant wavelength and cavity path length through the expression n(m) = (cavity optical path length) / (wavelength). For

example, the mode number n(m) of resonant wavelength  $\lambda_0$  within external cavity laser 200 can be tuned electrically by varying control voltage  $V_3$  applied to additional variable index electro-optic element 210. Thus, resonant wavelength  $\lambda_0$  within external cavity laser 200 can be tuned electrically via applying variable control voltages  $V_1$  and  $V_2$  to variable index electro-optic elements 110 and 120, for example, while keeping mode number n(m) constant via tunable control voltage  $V_3$  applied to additional variable index electro-optic element 210, without requiring mechanical motion. Alternatively, mode number n(m) can be tuned independently by varying control voltage  $V_3$ , regardless of any ability to provide wavelength tuning by applying variable control voltages  $V_1$  and  $V_2$ .

[0027] Alternatively, the effectively optical path length within the cavity of external cavity laser 200 can be tuned by translating retro-reflector 105 parallel to the optical path of light of resonant wavelength  $\lambda_0$ , i.e., perpendicular to the line formed by the locus of all bottom or top apex points of the sawtooth retro-reflector profile. This translation does not affect the directionality of the resonant light, but it changes the cavity optical path length, causing tuning of the resonant mode number n(m). Although this technique has the disadvantage of requiring mechanical motion, it can, for example, be used to provide coarse mechanical mode number tuning optionally in conjunction with applying variable control voltage  $V_3$  to provide fine electrical mode number tuning.

application of a control voltage without requiring mechanical motion. As a result, the adverse effects associated with mechanical tuning, such as thermal issues, non-repeatable motion, and backlash, are avoided. Also, an electrically-controlled external cavity laser does not require a bulky motor for mechanical tuning and is, hence, more easily miniaturized. Further, wavelength and mode number can simultaneously be controlled electrically. Control voltages, for example V<sub>1</sub>, V<sub>2</sub>, V<sub>3</sub>, applied individually to variable index electro-optic element 110, 120, and/or 210 can be equal or unequal in value to one another, and can be individually or cooperatively controlled conventionally, programmably and/or through feedback signals derived from photodetectors or other appropriate sensors (not shown in FIGURE 2).

[0029] FIGURE 3 depicts wavelength selective total internal optical reflection occurring complementarily with partial refraction of a light beam in layered structure 300 at an

interface between a liquid crystal layer and a lower index optical medium. Layered structure 300 can be regarded as a more detailed representation of an embodiment of optical interface 111 or 121 of respective variable index electro-optic element 110 or 120 depicted in FIGURE 1. Liquid crystal layer 302 having a voltage-dependent refractive index, for example  $n_1(V_1)$ , is situated between outer dielectric layer 303 of lower refractive index  $n_L$  and substantially transparent dielectric layer 301, which can for example be optical glass or optical grade polymer. Dielectric layer 301 has an arbitrary refractive index, which can for example be equal to the refractive index  $n_L$  of dielectric layer 303. Layered structure 300 depicted in FIGURE 3 is advantageous, because it provides containment between two solid dielectric layers 301, 303 for a layer 302 of liquid crystal material.

[0030] Transparent electrically-conducting film layers 304 and 305 connected through conductors 314 and 315 to a variable voltage source (not shown) apply a variable voltage across liquid crystal layer 302. The voltage electrically tunes the refractive index  $n_1(V_1)$ of liquid crystal layer 302. This in turn provides a tunable critical angle  $\theta_{1cr}$  for TIR at optical interface 306 between liquid crystal layer 302 and outer low-index dielectric layer 303, where  $\theta_{1cr}$  satisfies the relation  $n_1(V_1) \sin \theta_{1cr} = n_L$ . As depicted in FIGURE 3, the voltage applied by the variable voltage source through conductors 314 and 315 electrically tunes the critical angle  $\theta_{1cr}$ , so that light of desired wavelength  $\lambda_0$  and all longer wavelengths  $\lambda_L$  incident from dielectric layer 301 through liquid crystal layer 302 is totally internally reflected at optical interface 306, whereas light of shorter wavelengths  $\lambda_S$  is partially refracted at optical interface 306 into outer dielectric layer 303 and exits from the external cavity through conducting film 305 into an external medium, for example air 310. Accordingly, optical interface 306 behaves as a long wavelength pass filter, similar to optical interface 111 of FIGURE 1. Conversely, a similarly layered structure may be oriented such that an optical interface behaves as a short wavelength pass filter, similar to optical interface 121 of FIGURE 1. In alternative embodiments, layer 302 of liquid crystal material can be replaced by a different electro-optic material.

[0031] Similar to combining first and second variable index electro-optic elements 110 and 120 into a single variable index electro-optic element as described in connection with FIGURE 1, in some embodiments both long and short wavelength pass filter implementations of layered structure 300 can be contained physically within a single variable index electro-optic

element. In such combined implementations, it is convenient although not necessary to apply equal control voltages to both layered structures.

[0032] Refractive index n<sub>1</sub>(V<sub>1</sub>) of liquid crystal layer 302 is electrically tunable over a range of approximately 1.5 to 1.7. Optical-grade dielectric materials suitable for dielectric layer 303 have refractive indices smaller than the minimum index in the range for the liquid crystal material. Candidates include, for example, silicon dioxide (SiO<sub>2</sub>) and lithium fluoride (LiF), having respective refractive indices of 1.45 and 1.38. Transparent conducting film layers 304 and 305 can be made, for example, of indium tin oxide (ITO), which is 50% transparent at a wavelength of 1.5 micrometers (μm) and 90 per cent transparent at visible and near infrared (< 1.0 μm) wavelengths. Layered structure 300 is configured so that transparent conducting film layers 304, 305 are spaced away from TIR interface 306 and therefore produce no adverse effect on the optical properties of the interface. Refracted light escapes from the external cavity of the laser if outer conducting film layer 305 has a rough surface that causes diffuse reflection and scattering. Refracted light likewise escapes if outer conducting film layer 305 is absorbing, or if it is specularly reflecting but non-parallel to the plane of optical interface 306, and thus deflects incident light either in or out of the optical plane of the external cavity.

[0033] In addition to liquid crystals, the embodiments can employ other electrooptic materials, for example lithium niobate or other electro-optic crystals, that provide a
substantially transparent optical medium across the wavelength range of interest and have
electrically-dependent refractive indices. Liquid crystals exhibit a greater coefficient of
refractive index change relative to control voltage than do other materials, such as lithium
niobate, but have the drawback of scattering light. For example, light scattering through a
thickness greater than or equal to 5 mm of liquid crystal is observed to degrade light propagation
efficiency by at least 50 per cent relative to the same path length through a non-scattering
medium. Hence, liquid crystals are particularly advantageous in thin layers. Again in
accordance with numerical analysis results, wavelength discrimination in layered structure 300
in an external cavity laser is expected to be slightly inferior to that described above in
connection with long optical-path, low-scatter media in FIGURE 1. Practically, however, in
layered structure 300, the thickness of liquid crystal layer 302 can be limited easily to less than 5
mm, and in some embodiments as thin as a few micrometers (μm), thus minimizing the adverse
effect of light scattering. Additionally, confinement of the liquid crystal layer provides

advantages relating to manufacturability and reliability. Conversely, for applications such as that described in connection with FIGURE 2, where a long optical path through additional electro-optic element 210 is desirable, lithium niobate or a similar low-scatter material may be employed.

[0034] FIGURE 4A is a graph showing simulated long wavelength pass and short wavelength pass filter characteristics of a liquid crystal embodiment. The results are not qualitatively altered for other low index material/liquid crystal optical interfaces. Curve 401 represents the long wavelength pass effect of a first liquid crystal-based element similar to first variable index electro-optic element 110 depicted in FIGURE 1. In the simulation, the liquid crystal index of refraction is set to provide a critical angle such that light of wavelengths longer than approximately 1.550 μm is total internally reflected. Accordingly, first variable index electro-optic element 110 operating alone acts as a long wavelength pass filter. Curve 402, on the other hand, represents the optical performance of a second liquid crystal-based element similar to second variable index electro-optic element 120 depicted in FIGURE 1. In second variable index electro-optic element 120, light of wavelength shorter than about 1.550 μm undergoes total internal reflection. Accordingly, second variable index electro-optic element 120 operating alone acts as a short wavelength pass filter.

[0035] FIGURE 4B is a graph showing the simulated behavior of a long wavelength pass and a short wavelength pass filter combined to provide a bandpass wavelength filter centered on 1.550 μm. In this simulation, a collimating element of focal length 2.5 mm and a dispersion angle of 60 degrees are chosen. Both liquid crystal-based elements are chosen to have equal refractive indices, which are varied identically over a range from 1.6005 for curve 411 to 1.605 for curve 415. For the geometry chosen, the bandpass center wavelength does not change, but the width (FWHM) increases with increasing refractive index from 2.7 nm for curve 411 to 7 nm for curve 415. As the bandpass width increases, the central portion of the bandpass becomes flat (A range of wavelengths is passed.). For the individual curves labeled in FIGURE 4B, the respective refractive indices and corresponding bandpass widths (FWHM) are:

Curve 411: n = 1.6005 FWHM = 2.7 nm; Curve 412: n = 1.601 FWHM = 3.0 nm; Curve 413: n = 1.602 FWHM = 3.4 nm; Curve 414: n = 1.603 FWHM = 4.5 nm; Curve 415: n = 1.605 FWHM = 7 nm.

[0036] More generally, it is possible to move the center wavelength of the pass band to shorter or longer wavelengths by varying the refractive indices of the two liquid crystal-based elements unequally. This could be useful in external cavity laser tuning and in other situations where a dynamic bandpass filter is desired, for example, in receivers where the certain incoming wavelengths are selected, or to select wavelengths to be re-routed in an optical switch or in an add-drop optical multiplexer. Likewise, individual long wavelength pass and short wavelength pass filters represented by curves 401 and 402 can be useful to select wavelengths to be re-routed in a switch or in an add-drop optical multiplexer.

[0037] A range of embodiments alternative to that depicted in FIGURE 3 provides for the application of control voltage and/or application of an electrical field along an axis oriented at any angle relative to the plane of incidence at the TIR interface of the liquid crystal layer, as desired for a particular application. For example, an applied voltage may cause current to flow in a ring-shaped electrode, giving rise to a current-dependent magnetic field that controls the refractive index by aligning the molecules of the liquid crystal-based element.

[0038] FIGURE 5 depicts external cavity laser 500 similar to external cavity laser 100, 200 shown in FIGURES 1-2, but including optical relay element 51 between optical gain medium 101 and collimating element 102. A somewhat analogous optical relay element is described in concurrently filed, co-pending and commonly assigned U.S. Patent Application Serial No. [Attorney Docket 10030130-1], the disclosure of which has been incorporated herein by reference. Optical gain medium 101 emits light beam 501, 502, which typically has insufficient beam divergence to fill the overall aperture diameter D of collimating element 102, but instead fills only a smaller diameter aperture, for example central radial portion 56 of collimating element 102. The beam collimated by collimating element 102 consequently underfills the aperture of dispersing element 103, which may impair the performance of dispersed wavelengths of the beam diffracted by dispersing element 103. Optical relay element

51 transforms light beam 501, 502 of low beam divergence into light beam 503, 504 of larger beam divergence, which fills overall aperture diameter D of collimating element 102, including peripheral radial portion 58. Optical relay element 51 can be, for example, a convex refractive relay lens, an off-axis concave mirror, or another optical element capable of transforming the beam divergence of light beam 501, 502.

[0039] Furthermore, external cavity laser 500 may optionally include additional variable index electro-optic element 210 to provide mode number tuning by electrically varying refractive index  $n_3(V_3)$ , as described in connection with FIGURE 2 above.